

Faltings heights and subleading terms of adjoint L -functions

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Joint work in progress with Weixiao Lu and Wei Zhang

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Gross–Zagier 40 years later, Cambridge USA

“Arithmetic Lefschetz trace formula”?

K number field, $X \rightarrow \operatorname{Spec} K$ smooth proj., $\Delta: X \rightarrow X \times X$ diagonal

$T: X \dashrightarrow X$ finite étale correspondence $T \rightarrow X \times X$ graph

Count fixed points of $T \leftrightarrow (\Delta, T)$

Lefschetz trace/fixed point formula: if $H^*(X_{\bar{K}}) = \bigoplus_{\operatorname{Gal}(\bar{K}/K) \text{ irrep. } \rho} V_{\rho}$

$$(\Delta, T) = \sum_i (-1)^i \operatorname{tr}(T|_{H^i(X_{\bar{K}})}) = \sum_{\rho} \pm \operatorname{tr}(T|_{V_{\rho}})$$

$\mathcal{X} \rightarrow \operatorname{Spec} \mathcal{O}_K$ sm. proj., $\hat{\Delta}: \mathcal{X} \rightarrow \mathcal{X} \times \mathcal{X}$, $\hat{T} \rightarrow \mathcal{X} \times \mathcal{X}$, $\hat{\mathcal{L}}$ line bundle on $\mathcal{X}$

$$h(\Delta, T) := (\hat{\Delta}, \hat{\mathcal{L}}, \hat{T}) = \operatorname{tr}(T|_{??}) \stackrel{??}{=} \sum_{\rho} \pm \operatorname{tr}(T|_{V_{\rho}}) \cdot \boxed{\frac{L'(0, \pi_{\rho}, \operatorname{Ad})}{L(0, \pi_{\rho}, \operatorname{Ad})}}$$

For X a Shimura variety with $\rho \rightsquigarrow$ aut. rep. π_{ρ}

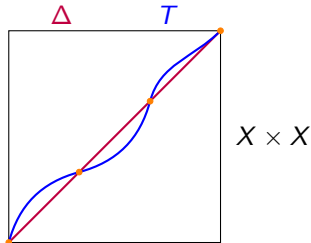
Hecke fixed points: count

$$X = X_0(N)$$

$$X \xrightarrow{\Delta} X \times X$$

Hecke operator

$$T \rightarrow X \times X$$



$$\deg(\Delta \cap T)$$



$$\sum_i (-1)^i \operatorname{tr}(T \mid H^i(X))$$

$$\sum_{\pi} \pm \lambda_{\pi}(T) \cdot \boxed{\dim(H^*(X)[\pi_f])}$$

$$\Delta \in \operatorname{Ch}^1(X \times X)_{\mathbb{C}} \subset \mathcal{H} \otimes \mathcal{H}$$

$$\Delta = \sum_{\pi} \Delta_{\pi \boxtimes \pi^{\vee}}$$

$$T = (T \otimes 1)^* \Delta = \sum_{\pi} \lambda_{\pi}(T) \Delta_{\pi \boxtimes \pi^{\vee}}$$

$$\deg(\Delta \cap T) = (\Delta, T)$$

$$= \sum_{\pi} \lambda_{\pi}(T) \boxed{(\Delta_{\pi \boxtimes \pi^{\vee}}, \Delta_{\pi \boxtimes \pi^{\vee}})}$$

$$\rightsquigarrow \boxed{\# \operatorname{div}(N / \operatorname{cond}(\pi))}$$

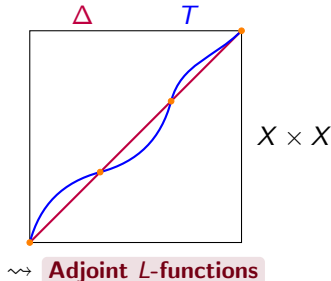
Hecke fixed points: height

Hodge bundle $\mathcal{E}$

$$\langle \hat{\Delta}, \hat{\mathcal{E}} \boxtimes \hat{\mathcal{E}}, \hat{T} \rangle_{\mathcal{X} \times \mathcal{X}} = h(\Delta, T)$$

$$\downarrow$$

$$\sum_{\pi} \lambda_{\pi}(T) \left[h(\Delta_{\pi \boxtimes \pi^{\vee}}, \Delta_{\pi \boxtimes \pi^{\vee}}) \right]$$



$$\Delta \in \text{Ch}^1(X \times X)_{\mathbb{C}} \subset \mathcal{H} \otimes \mathcal{H}$$

$$\Delta = \sum_{\pi} \Delta_{\pi \boxtimes \pi^{\vee}}$$

$$T = (T \otimes 1)^* \Delta = \sum_{\pi} \lambda_{\pi}(T) \Delta_{\pi \boxtimes \pi^{\vee}}$$

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$$\leadsto \# \text{div}(N / \text{cond}(\pi))$$

$$\deg(\Delta \cap T)$$

$$\downarrow$$

$$\sum_i (-1)^i \text{tr}(T | H^i(X))$$

$$\sum_{\pi} \pm \lambda_{\pi}(T) \cdot \left[\dim(H^*(X)[\pi_f]) \right]$$

Adjoint L -functions

$F/\mathbb{Q}$ imag. quad. w/ odd disc. V Hermitian space $X = \mathrm{Sh}_K(G')$ with
 $G' = U(V) \times \mathrm{Res}_{F/\mathbb{Q}} \mathbb{G}_m$ with $\mathrm{sig}(V) = (n-1, 1)$.

Assume Hecke semisimple for simplicity:

$$\mathrm{Ch}^{n-1}(X \times X)_{\mathbb{C}} = \bigoplus \mathrm{Ch}^{n-1}(X \times X)_{\pi_f \boxtimes \pi'_f}, \quad \Delta = \sum \Delta_{\pi_f \boxtimes \pi_f^\vee} \quad (\Delta_\pi := \Delta_{\pi_f \boxtimes \pi_f^\vee}).$$

Conjecture (C.-Lu-Zhang)

For π a cuspidal automorphic representation of $G = U(V)$ which is cohomological and tempered:

$$h(\Delta_\pi, \Delta_\pi) \sim \frac{L'(0, \pi, \mathrm{Ad})}{L(0, \pi, \mathrm{Ad})} \cdot \dim(H^{n-1}(X)[\pi_f]) \quad {}^L G \xrightarrow{\mathrm{Ad}} \mathrm{Lie}({}^L G)$$

center at $s = 1/2$

Theorem (C.-Lu-Zhang)

True for compact unitary Shimura curves ($n = 2$) modulo $\sum_{\text{bad red. } p} \bar{\mathbb{Q}} \cdot \log p$.

Cohomology and automorphic L -functions

With $n - 1 = \frac{1}{2} \dim(X \times X)$, expect

$$L(s, H^{n-1}(X \times X)) = \prod (\text{automorphic } L\text{-functions}).$$

$$\rightsquigarrow L(s, \pi \boxtimes \pi^\vee, R) = L(s, \text{BC}(\pi), \text{As}^+) L(s, \text{BC}(\pi), \text{As}^-).$$

$$L(s, \pi, \text{Ad}) = L(s, \text{BC}(\pi), \text{As}^{(-1)^n}).$$

Example ($n = 1$)

Consider “ $X = \text{Spec } F$ ”; π automorphic representation for $U(1)$.

$$L(s, H^0(X)) = \zeta_F(s) = \zeta(s) L(s, \text{sgn}_{F/\mathbb{Q}}),$$

$$\zeta(s) = L(s, \text{BC}(\pi), \text{As}^+), \quad L(s, \text{sgn}_{F/\mathbb{Q}}) = L(s, \text{BC}(\pi), \text{As}^-).$$

(Averaged) Colmez: Faltings heights of CM abelian varieties $\sim \frac{L'(0, \text{sgn}_{F/\mathbb{Q}})}{L(0, \text{sgn}_{F/\mathbb{Q}})}.$

Hecke fixed points: counting CM points

$$X = X_0(N) \quad \color{red}{X} \xrightarrow{\Delta} X \times X$$

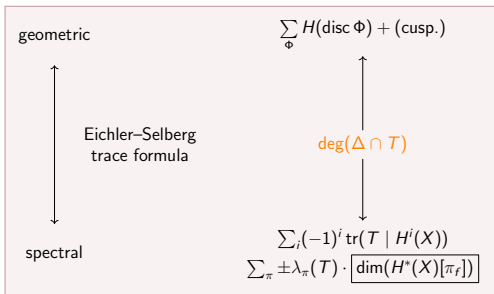
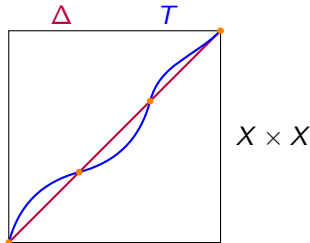
$$\text{Hecke operator} \quad \color{blue}{T} \rightarrow X \times X$$

$$\text{Ex: } p \nmid N, \Delta \cap T_p \text{ "}" = \sum_{\text{char poly } \Phi} Z_{\Phi} + (\text{cusp.})$$

$$Z_{\Phi} = \{(E, \text{level}, \gamma) \mid \gamma \in E \text{ charpoly}(\gamma) = \Phi\}$$

$$\Phi \in \mathbb{Z}[t] \text{ of the form } t^2 + (*)t + p$$

$$\deg Z_{\Phi} = H(\text{disc } \Phi) \quad (\text{Hurwitz class number})$$



$$\Delta \in \text{Ch}^1(X \times X)_{\mathbb{C}} \subset \mathcal{H} \otimes \mathcal{H}$$

$$\Delta = \sum_{\pi} \Delta_{\pi \boxtimes \pi^{\vee}}$$

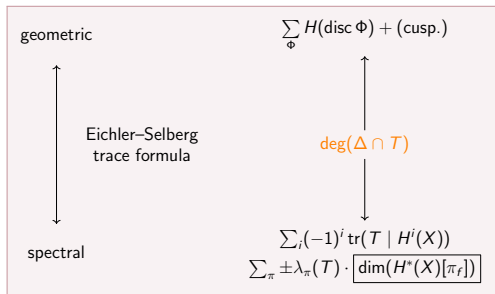
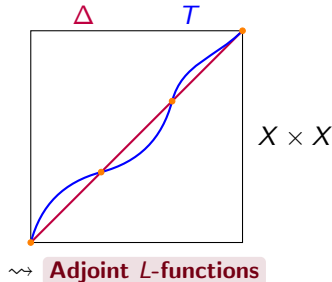
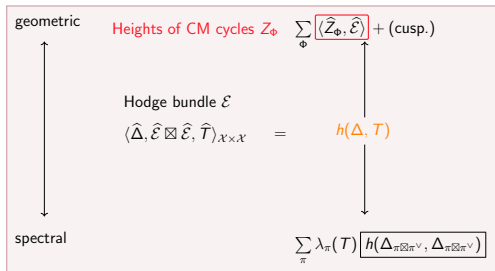
$$T = (T \otimes 1)^* \Delta = \sum_{\pi} \lambda_{\pi}(T) \Delta_{\pi \boxtimes \pi^{\vee}}$$

$$\deg(\Delta \cap T) = (\Delta, T)$$

$$= \sum_{\pi} \lambda_{\pi}(T) \boxed{(\Delta_{\pi \boxtimes \pi^{\vee}}, \Delta_{\pi \boxtimes \pi^{\vee}})}$$

$$\rightsquigarrow \boxed{\# \text{div}(N / \text{cond}(\pi))}$$

Hecke fixed points: heights of CM points



$$\Delta \in \text{Ch}^1(X \times X)_{\mathbb{C}} \cap \mathcal{H} \otimes \mathcal{H}$$

$$\Delta = \sum_{\pi} \Delta_{\pi \boxtimes \pi^\vee}$$

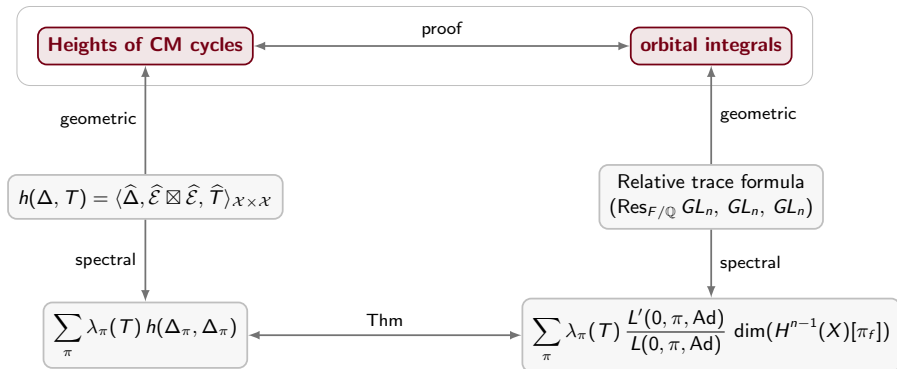
$$T = (T \otimes 1)^* \Delta = \sum_{\pi} \lambda_{\pi}(T) \Delta_{\pi \boxtimes \pi^\vee}$$

$$\deg(\Delta \cap T) = (\Delta, T)$$

$$= \sum_{\pi} \lambda_{\pi}(T) \left[(\Delta_{\pi \boxtimes \pi^\vee}, \Delta_{\pi \boxtimes \pi^\vee}) \right]$$

$$\leadsto \# \text{div}(N / \text{cond}(\pi))$$

Strategy: Relative trace formulas



Analytic side: Twisted Jacquet–Zagier RTF

$$H = GL_n, \quad H' = \operatorname{Res}_{F/\mathbb{Q}} GL_n, \quad f \in \mathcal{S}(H'(\mathbb{A})), \quad \varphi \in \mathcal{S}(W(\mathbb{A})), \quad W = \left\{ \begin{array}{l} \text{length } n \\ \text{row vectors} \end{array} \right\} \curvearrowright H$$

Distribution

$$K_f(x, y) = \sum_{\gamma \in H'(\mathbb{Q})} f(x^{-1}\gamma y), \quad \Theta(x, \varphi) = \sum_{u \in W(\mathbb{Q})} \varphi(ux) \quad \eta := \operatorname{sgn}_{F/\mathbb{Q}}$$

$$J(f, \varphi, s) := \int_{[H]}^{\operatorname{reg}} \int_{[H]} K_f(x, y) \Theta(x, \varphi) |x|^s \eta(x)^n \eta(y)^{n+1} dx dy.$$

Local orbital integrals

$$S_n = \{x \in H' : x\bar{x} = 1\} \curvearrowright H \text{ (by conjugation)} \quad S_n(\mathbb{Q}_p) \cong GL_n(\mathbb{Q}_p) \text{ for split } p.$$

$$(\gamma, u) \in S_n(\mathbb{Q}_p) \times W(\mathbb{Q}_p) \text{ regular} \quad f \in \mathcal{S}(S_n(\mathbb{Q}_p)) \quad \varphi \in \mathcal{S}(W(\mathbb{Q}_p))$$

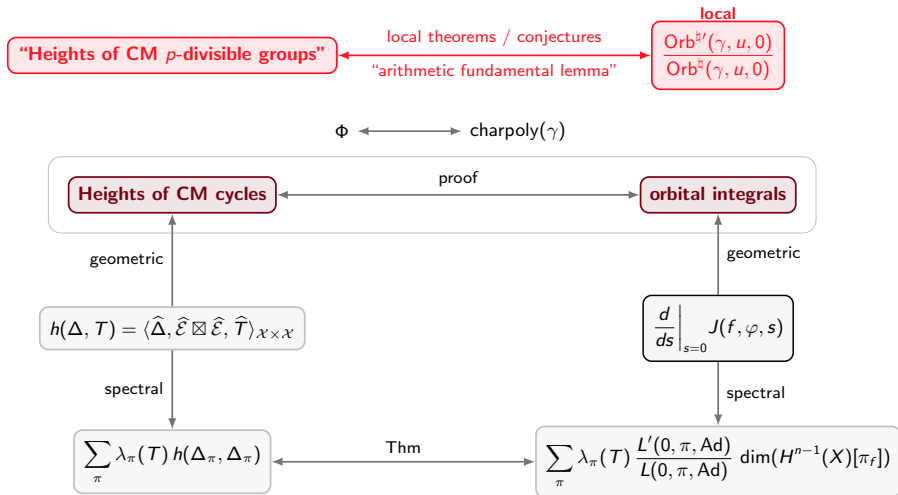
$$\operatorname{Orb}(\gamma, u, f, \varphi, s) := \int_{H(\mathbb{Q}_p)} f(h^{-1}\gamma h) \varphi(uh) |h|^s \eta(h) dh,$$

$$\operatorname{Orb}(\gamma, u, s) := \operatorname{Orb}(\gamma, u, \mathbf{1}_{S_n(\mathbb{Z}_p)}, \mathbf{1}_{W(\mathbb{Z}_p)}, s) \text{ for } p \text{ unramified,}$$

$$\operatorname{Orb}^{\natural}(\gamma, u, s) = \frac{\operatorname{Orb}(\gamma, u, s)}{(L\text{-factors})(\text{transfer factors})} \quad \left(\begin{array}{l} = 1 \text{ if } p \text{ unramified, } \gamma \in S_n(\mathbb{Z}_p), \\ \det \left(\begin{smallmatrix} u \\ u\gamma \end{smallmatrix} \right) \in \mathbb{Z}_p^{\times}, \text{ if } n = 2 \end{array} \right)$$

$$\text{If } n = 2, \quad \operatorname{Orb}^{\natural}(\gamma, u, s) = \operatorname{Orb}^{\natural}(\gamma, u, 1 - s).$$

Strategy: Relative trace formulas



Heights and CM lattices

Given (E, Φ) CM algebra E , CM type Φ :

$$\begin{array}{ccc} \left\{ \begin{array}{l} \mathbb{Z}\text{-lattices } \Lambda \text{ w/ CM} \\ \Lambda_{\mathbb{Q}} \circlearrowleft E \text{ rank one} \end{array} \right\} & \xrightarrow{h_{\text{Fal}}} & \mathbb{R} \\ \uparrow \wr H_1(-, \mathbb{Z}) & & \\ \left\{ \begin{array}{l} \text{Ab. var./}\mathbb{C} \text{ w/ CM} \\ \text{by } (E, \Phi) \end{array} \right\} & A \longmapsto & h_{\text{Fal}}(A) \end{array}$$

Given (E_p, Φ_p) $E_p/\mathbb{Q}_p$ étale algebra of degree $2n$
 $\Phi_p \subseteq \text{Hom}(E_p, \mathbb{C}_p)$ a p -adic CM type, i.e. $|\Phi_p| = n$:

$$\begin{array}{ccc} \left\{ \begin{array}{l} \mathbb{Z}_p\text{-lattices } \Lambda \text{ w/ CM} \\ \Lambda_{\mathbb{Q}_p} \circlearrowleft E_p \text{ rank one} \end{array} \right\} & \xrightarrow{h_p} & \mathbb{Q} \cdot \log p \\ \uparrow \wr T_p(-) & & \\ \text{colim}_{K/\mathbb{Q}_p} \left\{ \begin{array}{l} p\text{-div. gp w/ CM/Spec } \mathcal{O}_K \\ \text{by } (E_p, \Phi_p) \text{ for } [K : \mathbb{Q}_p] < \infty \end{array} \right\} & Y \longmapsto & h_p(Y) \end{array}$$

“Heights” for CM p -divisible groups

Given an isogeny of abelian varieties $\Psi: A \rightarrow B$ over $\mathcal{O}_K$:

$$h_{\text{Fal}}(B) - h_{\text{Fal}}(A) = \frac{1}{2} \log \deg \Psi - \frac{1}{[K:\mathbb{Q}]} \log |\text{coker}(\Psi: \text{Lie } A \rightarrow \text{Lie } B)|.$$

Suppose $Y_0 / \text{Spec } \mathcal{O}_K$ is a p -divisible group with $Y_0 \odot \mathcal{O}_{E_p}$ (maximal order).

Definition: Let $\text{Hom}(Y_0, Y) = \Psi \cdot \mathcal{O}_{E_p}$ (free rank one $/\mathcal{O}_{E_p}$). Then

$$h_p(Y) := \frac{1}{2} \log \deg \Psi - \frac{\log p}{[K:\mathbb{Q}_p]} \text{length coker}(\Psi: \text{Lie } Y_0 \rightarrow \text{Lie } Y).$$

Proposition: Given an abelian variety A with CM by (E, Φ) , we have canonically

$$h_{\text{Fal}}(A) \stackrel{\text{Max. order}}{=} h(E, \Phi) \quad + \quad \sum_p h_p(T_p(A))$$

$\downarrow \text{Colmez}$
 L'/L

$\downarrow \text{“AFL” Today (average)}$
 Orb' / Orb

“Arithmetic fundamental lemma” for heights

V sig. $(1, 1)$ Hermitian space for $F/\mathbb{Q}$, $G = U(V)$, $G' = U(V) \times \text{Res}_{F/\mathbb{Q}} \mathbb{G}_m$
 $X = \text{Sh}_K(G')$, $p \neq 2$ split in $\mathcal{O}_F$, $K_p \subseteq G(\mathbb{Q}_p)$ maximal, $\mathcal{X}_p \xrightarrow{\text{smooth}} \text{Spf } \check{\mathbb{Z}}_p$.

Supersingular Rapoport–Zink uniformization:

$$\hat{\mathcal{X}}_p^{\text{ss}} \sim I(\mathbb{Q}) \backslash (\mathcal{N} \times G(\mathbb{A}_f^p)/K^p), \quad \mathcal{N} \cong \coprod_i \text{LT}$$

$$\text{LT}(S) = \left\{ Y/S : \begin{array}{l} \text{deformation of the height 2,} \\ \text{dimension 1, formal } p\text{-div. group} \end{array} \right\}, \quad \text{LT} \cong \text{Spf } \check{\mathbb{Z}}_p[[t]]$$

$$I \text{ inner form of } G, \quad I(\mathbb{Q}_p) = D^\times, \quad I(\mathbb{A}_f^p) \cong G(\mathbb{A}_f^p), \quad \text{LT} \circlearrowleft \mathcal{O}_D^\times$$

$$\text{For } g \in \mathcal{O}_D^\times \setminus \mathbb{Z}_p^\times : \quad \text{CM}(g) := \{Y \in \text{LT} : gY = Y\} \xrightarrow{\text{finite flat}} \text{Spf } \check{\mathbb{Z}}_p$$

Local Theorem (C.–Lu–Zhang)

For $g \leftrightarrow (\gamma, u)$, i.e. $\text{charpoly}(g) = \text{charpoly}(\gamma)$ and (γ, u) regular (here $\gamma \in \text{GL}_2(\mathbb{Q}_p)$):

$$\deg \text{CM}(g) = \sum_{Y \in \text{CM}(g)(\mathbb{C}_p)} 1 = [\check{\mathbb{Q}}_p(g) : \check{\mathbb{Q}}_p] \cdot \text{Orb}^{\natural}(\gamma, u, 0),$$

$$h_p(\text{CM}(g)) = \sum_{Y \in \text{CM}(g)(\mathbb{C}_p)} h_p(Y) = -\frac{1}{2} [\check{\mathbb{Q}}_p(g) : \check{\mathbb{Q}}_p] \cdot \frac{d}{ds} \Big|_{s=0} \text{Orb}^{\natural}(\gamma, u, s).$$

Archimedean: unfolding

Harmonic Green function $\mathcal{G}_T$ for $T \rightarrow X \times X$, i.e. “log potential”

$$\mathcal{G}_T = -\log |f|^2 + \text{smooth} \quad \text{where} \quad T \text{ is locally } \{f = 0\}.$$

For Eisenstein $T \in \mathcal{H}$ (annihilating $H^0(X_{\mathbb{C}}, \mathbb{C})$):

$$h(\Delta, T) \xrightarrow{\text{Arch.}} \int_{\Delta(\mathbb{C})} \mathcal{G}_T(z, z) \frac{dx dy}{y^2}$$

$$\xrightarrow{\text{unfolding}} \lim_{\nu \rightarrow 1^+} \sum_g \boxed{\text{Orb}_{U(1,1)(\mathbb{R})}(g, Q_{\nu-1})} \cdot \left(\begin{array}{c} \text{non-Archimedean} \\ \text{orbital integrals for } T \end{array} \right)$$

For $t > 1$, we have

$$Q_{\nu-1}(t) := \int_0^\infty (t + \sqrt{t^2 - 1} \cosh u)^{-\nu} du \quad (\text{singularity as } t \rightarrow 1)$$

$$\xrightarrow[\text{decomp.}]{\text{Cartan}} K_\infty \backslash U(1,1)(\mathbb{R}) / K_\infty \dashrightarrow \mathbb{R} \quad (\text{singularity along } K_\infty).$$

Archimedean: orbital integrals

$$\mathrm{Orb}_{U(1,1)(\mathbb{R})}(g, Q_{\nu-1}) \overset{?}{\longleftrightarrow} \left. \frac{d}{ds} \right|_{s=0} \mathrm{Orb}_{\mathrm{GL}_2(\mathbb{R})}^{\natural}(s) \text{ on } \mathrm{GL}_2(\mathbb{R}) \backslash \mathrm{GL}_2(\mathbb{C}) / \mathrm{GL}_2(\mathbb{R})$$

$$\mathbf{1}_{U(2)(\mathbb{R})} \xrightarrow{\text{MSY transfer}} \varphi \implies \left. \mathrm{Orb}_{\mathrm{GL}_2(\mathbb{R})}^{\natural}(\varphi, \gamma, u, s) \right|_{s=0} = \begin{cases} 1 & \text{if } \gamma \text{ is elliptic} \\ 0 & \text{if } \gamma \text{ is hyperbolic} \end{cases}$$

“Derivative-to-special-value transfer” (C.–Lu–Zhang)

There exists $\phi_{\mathrm{Deriv}} : K_{\infty} \backslash U(1,1)(\mathbb{R}) / K_{\infty} \dashrightarrow \mathbb{R}$ (**singularity** along K_{∞}) such that for $g \leftrightarrow (\gamma, u)$,

$$\mathrm{Orb}_{U(1,1)(\mathbb{R})}(g, \phi_{\mathrm{Deriv}}) = \left. \frac{d}{ds} \right|_{s=0} \mathrm{Orb}_{\mathrm{GL}_2(\mathbb{R})}^{\natural}(\varphi, \gamma, u, s).$$

$$\mathrm{Ei}(-t) := - \int_1^{\infty} e^{-tx} x^{-1} dx \overset{\text{Cartan decomp.}}{\rightsquigarrow} \phi_{\mathrm{Deriv}}$$

$$\mathrm{Orb}_{U(1,1)(\mathbb{R})}(g, Q_{\nu-1}) \overset{?}{\longleftrightarrow} \mathrm{Orb}_{U(1,1)(\mathbb{R})}(g, \mathrm{Ei})$$

Archimedean: spectral decomposition

$$\mathrm{Orb}_{U(1,1)(\mathbb{R})}(g, Q_{\nu-1}) \overset{?}{\longleftrightarrow} \mathrm{Orb}_{U(1,1)(\mathbb{R})}(g, \mathrm{Ei})$$

Singularity cancellation:

$$\phi_{\mathrm{diff}, \nu} := 2Q_{\nu-1}(1+t) + \mathrm{Ei}(-2\pi t) \quad (\text{extends continuously to } t=0)$$

so $\phi_{\mathrm{diff}, \nu} \in C^0(K_{\infty} \backslash U(1,1)(\mathbb{R})/K_{\infty})$.

By the Selberg trace formula:

$$\begin{aligned} & \lim_{\nu \rightarrow 1^+} \sum_g \mathrm{Orb}_{U(1,1)(\mathbb{R})}(g, \phi_{\mathrm{diff}, \nu}) \cdot \left(\begin{array}{c} \text{non-Archimedean} \\ \text{orbital integrals for } T \end{array} \right) \\ &= \sum_{\substack{\pi \subseteq L^2([U(V)]) \\ \dim \pi = \infty, \quad \pi_{\infty}^{K_{\infty}} \neq 0}} \mathrm{tr}(\pi(\phi_{\mathrm{diff}, \nu=1} \otimes T)). \end{aligned}$$

(not cohomological of middle degree)